Form CTV-100

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

| FOR CLERKS ONLY |
|-----------------|
| Municipality |
| License Period |
| |

| Part A: Premises/Business Informa | tion | | | | | |
|---|--------------------------|---|-----------------|---|--|--|
| Legal Business Name (individual name if sole proprietor) | | | | | | |
| 2. Business Trade Name or DBA | | | | | | |
| 3. FEIN | | 4. Wisconsin Se | ller's Permit I | Number | | |
| 5. Entity Type (check one) Sole Proprietor | Partnership | ☐ Limited Liability Company ☐ Corporation | | | | |
| 6. State of Organization | 7. Date of Organiza | ation | | 8. Wisconsin DFI Registration Number | | |
| 9. Premises Address (do not use PO Box) | | | | | | |
| 10. City | | | 11. State | 12. Zip Code | | |
| 13. County 14. Governi of: | ng Municipality: Cit | ty 🗌 Town | Village | 15. Aldermanic District | | |
| 16. Mailing Address (if different from premises a | ddress) | | | | | |
| 17. City | | | 18. State | 19. Zip Code | | |
| 20. Premises Phone | 21. Premises Emai | il | I. | 22. Website | | |
| Describe all rooms including living quarters | if used, for the sales a | and/or storage of | cigarettes, tol | electronic vaping devices are to be sold and stored. bacco products, and electronic vaping devices and NLY on the premises described in this application. | | |
| Part B: Questions | | | | | | |
| What products will be sold at this busine Cigarettes | • | all that apply) Products | | ☐ Electronic Vaping Devices | | |
| How will cigarettes, tobacco, and/or election Over the counter | | es be sold? (che machine | eck all that a | apply) | | |
| Is the applicant business owned by anounce of the second of the sec | of the business enti | ity(s) below. Atta | ach addition | al sheets if necessary | | |
| 3b. FEIN of Business Entity: | | | | | | |

| Part C: Individual Information | n | | | | | |
|---|---|--|---------------------------------------|--|---|---|
| List the name, title, and phone number listed in Part B, Question 3: sole proprie of a limited liability company. Attach add | tor: all officers, direct | tors, and agents of a | | | | |
| Include Form CTV-101, Individual Ques | stionnaire, for each pe | erson listed below. | | | | |
| Last Name | First Name | | Title | | Phone | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Part D: Attestation | | | | | | |
| | attact to this appli | | | | | |
| One of the following must sign and • sole proprietor • one generation | attest to this applic ral partner of a par | | one corno | orate officer | • one man | naging member of an LL0 |
| READ CAREFULLY BEFORE SIGNI | | o.op | 5110 001 p | | ono mar | aging mombor of all LE |
| I understand and agree to the foll | | | | | | |
| I will only purchase cigarettes, t | · · | r products from d | listributor | s, jobbers, or s | ubjobbers pe | ermitted by the Wisconsi |
| Department of Revenue, unless | | | | | | |
| I will not purchase or exchange | products from an | other retailer, inc | luding tra | ansferring existi | ing stock to a | a new owner. |
| I will provide tobacco sales train (https://witobaccocheck.org). | ning that has been | approved by the | e Wiscon | sin Department | of Health Se | ervices to my employees |
| I will not sell single cigarettes. | | | | | | |
| I will not sell, give, or otherwise | provide cigarette | s, tobacco, or an | y nicotine | e products to m | inors. | |
| I will keep product invoices on enforcement. Failure to comply | | | | | | able for inspection by law |
| I will not sell cigarettes or roll-your of certified tobacco manufactur | | acco products ur | nless liste | ed on the Wisco | nsin Departn | nent of Justice's director |
| Further, under penalty provided by to operate this business accordin assigned to another. Any lack of a inspection. Such refusal is a misdefalse information on this application. | g to law and that the access to any porte emeanor and ground the second | he rights and restion of a licensed nds for revocation | ponsibilit premise n of this li | ties conferred b s during inspec cense. Any pers | y the license tion will be d son who know | e(s), if granted, cannot b leemed a refusal to allow |
| Signature | | | | Date | | |
| Name (Last, First, M.I.) | | | | | | |
| Tu | | le " | | | | 18 |
| Title | | Email | | | | Phone |
| | | | | | | <u> </u> |
| Part E: For Clerk Use Only | | | | | | |
| | ate license issued | Da | te license | expires | Licens | e number |

CTV-100 (R. 3-25)

Signature of Clerk/Deputy Clerk

License fees

Form CTV-100 Instructions

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

Who needs a cigarette, tobacco, and electronic vaping device retail license?

Any individual or entity that wants to sell cigarettes, tobacco products, or electronic vaping devices to consumers over the counter or through a vending machine must obtain a retail cigarette, tobacco, and electronic vaping device license.

Who issues cigarette, tobacco, and electronic vaping device retail licenses?

Municipal clerks of cities, villages, and towns issue cigarette, tobacco, and electronic vaping device retail licenses.

Specific Instructions

Part A: Business Information

- · Box 1: Enter the legal business name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits issued by the Wisconsin Department of Revenue begin with the digits "456." For questions about obtaining a seller's permit, see the department's Seller's Permit Common Questions.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 14: Check a municipality type and write the name of the governing municipality where the business is located. This may be different from the city listed in the premises address.
- Box 20 23: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 23: Describe the premises in detail. Attach a floor plan if possible.
 - Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor sales floor, humidor, north storage room, and south office of the 5,000 square foot building.

Part B: Questions

- 1. Check the box(es) corresponding to each type of product you intend to sell. You may check multiple boxes.
- Check the box(es) corresponding to the type of retail sale intended. This license does not authorize any online sales.
 Cigarette vending machine retailers must also obtain a Cigarette Vending Machine Operator by completing Form CT129.
- 3. If you answer yes to this question, provide the Legal Business Name and FEIN of the business entities listed in boxes 3a and 3b.

Part C: Individual Information

- Provide basic information for all persons involved in the applicant business who are sole proprietors, partners, officers, members, or agents. Example titles: President, Treasurer, Chief Financial Officer, Member, Partner, etc.
- If the applicant is owned by another business entity as indicated in Part B, Question 3, include information about the business entity's officers, members, and agents in the table, including the completion of Form CTV-101.
- Include an Individual Questionnaire (Form CTV-101) for each person listed with the submission of this application.

Part D: Attestations

Read the attestation carefully, then sign and date.

Part E: For Clerks Use Only

• "Date license issued" means the date the municipal clerk issued the license certificate document.

Completion and Submission of Form CTV-100

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- In addition to Form CTV-100, include:
 - Form CTV-101 for the sole-proprietor; all officers, directors, and agent of a corporation; all partners of a partnership; all managing members and agent of a limited liability company
 - Form CTV-102 if the applicant is an LLC or corporation
 - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document. Search for active sales tax accounts at revenue.wi.gov under My Tax Account, click on "Search Account Number" under the Businesses section. If you have questions about whether a person holds a seller's permit, contact the Department of Revenue at 608-266-2776
 - All other information and documents required by your municipality

Open Records

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of cigarette, tobacco product, and electronic vaping device licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- Submission of the retail license application and supplemental forms
- · Availability and cost of certain licenses

If you have questions about cigarette, tobacco product, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: https://www.revenue.wi.gov/Pages/Businesses/Tobacco.aspx

Email: <u>DORExcise@wisconsin.gov</u>

Telephone: (608) 264-4248

Resources Provided by the Department of Revenue

Publication 304, Cigarette, Tobacco, and Vapor Products Tax and Regulatory Information

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page

Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501, Vapor Products Tax

Other Resources

Tobacco Sales Training - Wisconsin Department of Health Services

Tobacco 21 – Wisconsin Department of Health Services

| Date | | |
|------|--|--|
| | | |

Form CTV-101

Cigarette, Tobacco, and Electronic Vaping Device - Individual Questionnaire

| Part A: | Business Informati | on | | | | | | | |
|--------------------|-----------------------------------|--------------|---------------------|------------------------------------|-------------|-------------------|-------------------|---------------|----------------|
| 1. Legal E | Business Name (individual i | name if sole | proprietor) | | | | | | |
| | | | | | | | | | |
| 2. Busine | ss Trade Name or DBA | | | | | | | | |
| 3. Entity 7 | Type (check one) | | | | | | | | |
| □ S | ole Proprietor | | Partnership | | Lir | nited Liability C | ompany | □ C | orporation |
| | | | | | | | | | |
| | | | | | | | | | |
| | Individual Informat | ion | | | | | | | |
| 1. Name | (Last) | | | 2. Na | me (First) | | | | 3. Name (M.I.) |
| 4 Delete | and in the Description of (Title) | | | | - 1 | | | 0. Divers | |
| 4. Relatio | nship to Business (Title) | | | 5. Em | ıaıı | | | 6. Phone | |
| 7. Home | Address | | | | | | | | |
| | | | | | | | | | |
| 8. City | | | | | 9. State | 10. Zip Code | | 11. Date of E | Birth |
| | | | | | | | | | |
| 12. Drive | rs License/State ID Number | • | | 13. Drivers License/State ID State | | | e of Issuance | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Individual's Addres | | | | | | | | |
| List in ch | nronological order all of y | our addre | sses within the las | t 5 yea | ars. Attach | additional she | ets if necessary. | | |
| Previous | Address 1 | | | City | | | State | Zip Code | |
| Descrision | Address 2 | | | O:h. | | | State | Zin Carla | |
| Previous | Address 2 | | | City | | | | Zip Code | |
| Previous | Address 3 | | | City | | | State | Zip Code | <u> </u> |
| | | | | | | | | ' | |
| Previous | Address 4 | | | City | | | State | Zip Code | ! |
| | | | | | | | | | |
| Previous Address 5 | | | | City | | | State | Zip Code | |
| Descrision | A delucació | | | O:t- : | | | State | Zin Carla | |
| Previous Address 6 | | | City | | | | Zip Code | | |
| If applied | | | | | .14 | | to if management | | |
| | able, list all states and co | | | ın adı | | | | Country | |
| State | County | State | County | | State | County | State | County | |
| State | County | State | County | | State | County | State | County | |
| | - | | - | | | - | | | |

Continued \rightarrow

| Part D: Individual's Criminal History | | | |
|--|--|--|--|
| Have you ever been convicted of any offense: Wisconsin, or another state's laws, or of any of | | | |
| If yes to question 1, please list details of each of | conviction below: | | |
| Law/Ordinance Violated | Location | | Trial Date |
| Penalty Imposed | | Was sentend | e completed? Yes No |
| Law/Ordinance Violated | Location | 1 | Trial Date |
| Penalty Imposed | | Was sentend | e completed? Yes No |
| Law/Ordinance Violated | Location | | Trial Date |
| Penalty Imposed | | Was sentend | e completed? Yes No |
| Are charges for any offenses currently pending federal, Wisconsin, or another state's laws or a state. | | | |
| If yes to question 2, describe nature and statu | ıs of pending charges usi | ng the space below | v. Attach additional sheets as needed. |
| Part E: Attestation by Individual READ CAREFULLY BEFORE SIGNING: I und | erstand that I may be pro | osecuted for submi | tting false statements and affidavits in |
| connection with this application, and that any perette, electronic vaping devices, and tobacco produced to the law that I have complete to the best of my knowledge and belief | rson who knowingly provi oducts retail license may examined this information | des materially false y be required to for n and, to the best o | e information on an application for ciga- feit not more than \$1,000 if convicted. If my knowledge, it is true, correct, and |
| Signature | | | Date |
| | | 1 | |
| Part F: Licensing Authority Approval | | | |
| I hereby certify that I have checked municipal and this individual does not have a criminal record th electronic vaping device retailer license accordin | at would disqualify them | from having an inte | |
| Name of Local Official | | Title | |
| Signature of Local Official | | | Date |

CTV-101 (R. 3-25) - 2 -

Form CTV-101 Instructions

Cigarette, Tobacco, and Electronic Vaping Device - Individual Questionnaire

Who must complete Form CTV-101?

This form must be submitted with a retail license (Form CTV-100) or permit (CTV-200) application and must be completed by each person involved in the applicant business. This includes: a sole proprietor; all officers, agents of a corporation; all partners of a partnership; and all members and agents of a limited liability company.

Note: Your applications (Forms CTV-100 or CTV-200) are not complete until all required Individual Questionnaires are submitted.

Where do I submit Form CTV-101?

Submit this form with the following applications, as applicable:

- With Form CTV-100, Cigarette, Tobacco, and Electronic Vaping Device Retail License Application, to the clerk of the municipality in which the applicant business is located.
- With Form CTV-200, Application for Cigarette, Tobacco, and Vapor Products Permits, to the Department of Revenue.

Specific Instructions

Date

Date you are preparing this form using the format MM/DD/YYYY.

Part A: Premises/Business Information

- Box 1: Enter the legal business name. If the applicant is a sole proprietor, enter the individual's first and last name.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on the license or permit application (Form CTV-100 or CTV-200).

Part B: Individual Information

- Provide all requested personal information.
- Box 2: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Chief Financial Officer, Member, Partner, Agent, etc.

Part C: Address History

- In chronological order starting with your most recent residential address, list your addresses within the past five years.
- List any states and counties you have lived in not already listed in Part C.

Part D: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance).
- Question 2: Disclose any pending charges against you in any jurisdiction.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if the offenses are sufficiently relevant, be prohibited from holding a cigarette, tobacco, and electronic vaping device license or permit under secs. 134.65(1m) and 139.34, Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding a license or permit.

Part E: Attestation:

• Read the attestation carefully, then sign and date.

Part F: Licensing Authority Approval

This section is for use by the appropriate municipal official to attest to the qualifications of the individual.

Assistance

This form is designed by the Department of Revenue.

If you have questions about retail license applications and costs of licenses, contact your municipal clerk for assistance.

If you have questions about permit applications or general questions about cigarette, tobacco, and electronic vaping device laws and regulations, contact the Department of Revenue using the contact information below.

Website: https://www.revenue.wi.gov/Pages/Businesses/Tobacco.aspx

Email: DORExcise@wisconsin.gov

Telephone: (608) 264-4248

Resources Provided by the Department of Revenue

Publication 304, Cigarette, Tobacco, and Vapor Products Tax and Regulatory Information

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page

Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501, Vapor Products Tax

Other Resources

Tobacco Sales Training - Wisconsin Department of Health Services

Tobacco 21 - Wisconsin Department of Health Services

Form CTV-102

Cigarette, Tobacco, and Electronic Vaping Device Appointment of Agent

| Agent Type (check one): | ☐ Original ☐ Change | | | | | |
|--|--|--|-------------------------------------|--|---|--|
| Part A: Agent Informa | tion | | | | | |
| 1. Last Name | | 2. First Name | | | | 3. M.I. |
| 4. Email | | <u> </u> | 5 | 5. Phone | | |
| 6. Home Address | | | | | | |
| 7.0% | | | | 01-1- | 0.75-0-4- | |
| 7. City | | | 8 | 3. State | 9. Zip Code | |
| 10. Date of Birth | 11. Drivers License/State ID Number | | 12. Driv | ers License | State ID State | of Issuance |
| D (D 0 () | | , | | | | |
| Part B: Questions | | | | | | |
| | orm CTV-101, Cigarette, Tobacco, and a completed Form CTV-101 with this f | | | | Ye | es 🗌 No |
| 2. If this is a change of ag | ent, please describe the reason for the | e agent change. Attach a | addition | al sheets i | if necessary. | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Dest O. Bess's and Infer | | | | | | |
| Part C: Business Information 1. Legal Business Name (indirection) | | | | | | |
| 1. Legal business Name (indi- | vidual fiame il sole proprietor) | | | | | |
| 2. Business Trade Name or D | BA | | | | | |
| 3. Entity Type (check one) | Limited Liability Company | ☐ Corpora | ation | | | |
| 4. Premises Address | | | | | | |
| | | | | | | |
| 5. City | | | 6 | S. State | 7. Zip Code | |
| Part D: Attestations | | | | | | |
| corporation or limited liability or electronic vaping devices If I am appointing a success for submitting false stateme | RE SIGNING: I, the Licensee or Permit company with full authority and control of the conducted therein. I certify that I am authority and agent, I rescind all previous agent appoints and affidavits in connection with this con may be required to forfeit not more than | e premises and of all busine rized by the entity to autho intments for this premises. application, and that any p | ess relati rize this Further, | ive to cigare individual t I understar | ettes, tobacco pro o act on behalf on that I may be | oducts, and/ of the entity. prosecuted |
| Signature of Licensee or Pern | nittee (officer, member, or authorized signate | ory) | С | Date | | |
| Name of Person Signing | | | Т | litle little | | |
| READ CAREFULLY BEFORE SIGNING: I, the Agent, herby accept this appointment as agent for the above-named corporation or limited liability company and assume full responsibility for the conduct of all business relative to sales of cigarettes, tobacco products, and/or electronic vaping devices conducted on the premises for the above-named business. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this form, and that any person who knowingly provides materially false information on this form may be required to forfeit not more than \$1,000 if convicted. | | | | | | |
| Signature of Agent | | | | Date | | |

Form CTV-102 Instructions

Appointment of Agent

Who must complete Form CTV-102?

Corporations and limited liability companies (LLCs) must appoint an agent that takes responsibility for the licensed or permitted premises where business activities relative to cigarettes, tobacco products, and/or electronic vaping devices are conducted.

Where do I submit Form CTV-102?

Submit this form with your application for a retail license (CTV-100) or a permit (CTV-200), or submit it separately to report a change in appointed agent.

- For retail licenses, submit this form to the clerk of the municipality in which the applicant business is located.
- For permits, submit this form to the Department of Revenue at the mailing address shown below.

Specific Instructions

Date:

Date you are preparing this form using the format MM/DD/YYYY.

Agent Type:

Select original appointment if you are appointing an agent with your license or permit application (Form CTV-100 or CTV-200). Select change if you are reporting a change of agent.

Part A: Agent Information

Provide all requested personal information for the appointed individual.

Part B: Agent Questions

- These questions should be answered by the appointed individual.
- Question 1: Submit a completed Form CTV-101, Individual Questionnaire, with this form.
- Question 2: Describe the reason why the business entity must appoint a new agent.
 - Examples include: the previous agent is no longer an employee of the entity, the previous agent is no longer eligible to be an agent of the premises, the previous agent was not responsive to business needs.

Part C: Business Information

- Box 1: Enter the legal business name.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type in to indicate how the business is legally organized.

Note: This business information must match the information on the license or permit application (Form CTV-100 or CTV-200) or match the name on the issued license or permit if reporting a change of agent.

Part D: Attestations

- An authorized representative of the licensee or permittee should read the first attestation carefully and sign to acknowledge the appointment of this agent.
- If the business in Part C is a corporation, the attestation must be signed by an authorized corporate officer or director.
- If the business in Part C is an LLC, the attestation must be signed by an authorized LLC member (i.e., managing member).
- The agent should read the second attestation carefully and sign to accept the appointment.
- An authorized representative of the licensee or permittee may appoint themselves as the agent by signing both attestation sections.

Assistance

This form is designed by the Department of Revenue.

If you have questions about retail license applications and costs of licenses, contact your municipal clerk for assistance.

If you have questions about permit applications or general questions about cigarette, tobacco, and electronic vaping device laws and regulations, contact the Department of Revenue using the information below.

Website: https://www.revenue.wi.gov/Pages/Businesses/Tobacco.aspx

Email: DORExcise@wisconsin.gov

Telephone: (608) 264-4248

Write: Wisconsin Department of Revenue

Excise Tax Unit P.O. Box 8900

Madison, WI 53708-8900

Resources Provided by the Department of Revenue

Publication 304, Cigarette, Tobacco, and Vapor Products Tax and Regulatory Information

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page

Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

<u>Tobacco Sales Training</u> – Wisconsin Department of Health Services

Tobacco 21 - Wisconsin Department of Health Services